

# Dispersion based Clustering for Unsupervised

## Person Re-identification

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### Problem Definition and Contribution

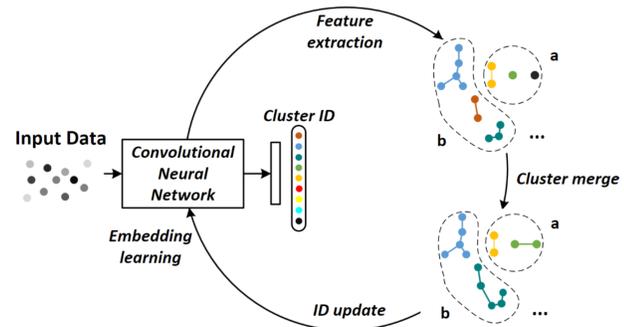
**Goal:** To perform unsupervised person re-identification with a robust criterion in an agglomerative clustering framework.

**Contributions:** An unsupervised deep learning framework that

- proposes a dispersion based clustering criterion which considers both within cluster compactness and between clusters separation.
- has two major advantages manifested by the criterion, *i.e.*, automatic prioritization of isolated data points for merging and prevention of poor clustering.
- demonstrates superior performances over the SOTA methods on both image-based and video-based person re-ID datasets.

### Approach

**Learning Framework:** Starting from sample specificity learning, *i.e.*, each sample as a class, alternatively train feature extractor and update clustering results for subsequent training.



### Embedding Learning

**Repelled loss:** Given an input image  $x$ , a target class  $y$ , we compute its feature representation  $v$ , we calculate its class distribution as:

$$p(y|x, V) = \frac{\exp(v_j^T v / \tau)}{\sum_{j=1}^N \exp(v_j^T v / \tau)}, \quad (1)$$

where  $\tau$  is a temperature parameter that controls the softness of probability distribution over classes,  $V$  is a lookup table (LUT) containing the centroid feature of each class. After which a cross entropy (CE) loss is imposed to align  $p(y|x, V)$  with the clustering resulting labels.

**Advantages:** this form jointly considers inter-class and intra-class variances.

### Merging Criterion (DBC)

**Dispersions:** Given a cluster  $\mathcal{C}$  scattered in feature space, we define its dispersion  $d(\mathcal{C})$  as:

$$d(\mathcal{C}) = \frac{1}{n} \sum_{i, j \in \mathcal{C}} \text{dist}(\mathcal{C}_i, \mathcal{C}_j), \quad (2)$$

where  $n$  is the cardinality of  $\mathcal{C}$ . As such, dispersion between clusters is written as:

$$d(\mathcal{C}_a, \mathcal{C}_b) = \frac{1}{n_a n_b} \sum_{i \in \mathcal{C}_a, j \in \mathcal{C}_b} \text{dist}(\mathcal{C}_{a_i}, \mathcal{C}_{b_j}), \quad (3)$$

Thus, the **dispersion based merging criterion** is a combine of both above dispersion terms as follows:

$$D_{ab} = d_{ab} + \lambda(d_a + d_b) \quad (4)$$

where  $\lambda$  is a trade off parameter between two components.

### Discussion

**On one hand:** Proposed criterion (Eq. (4)) brings two major advantages to the merging process, *i.e.*, isolated point priority and poor clustering prevention.

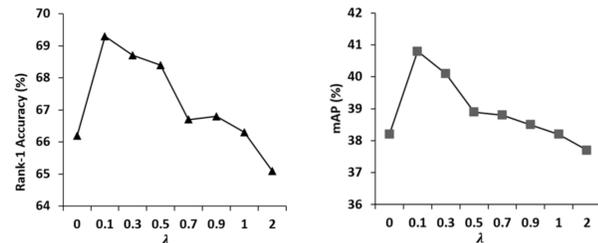


- Isolated point has zero within cluster dispersion, thus can be prioritized in first few merging stages.
- Poor cluster has large within cluster dispersion which can defer itself from merging.

**On the other hand:** Proposed criterion (Eq. (4)) ensures the forming of compact and well-separated cluster results, serves the same purpose as the repelled loss, eventually speeds up learning in a reciprocal way.

### Experiments & Results

#### Tradeoff Parameter

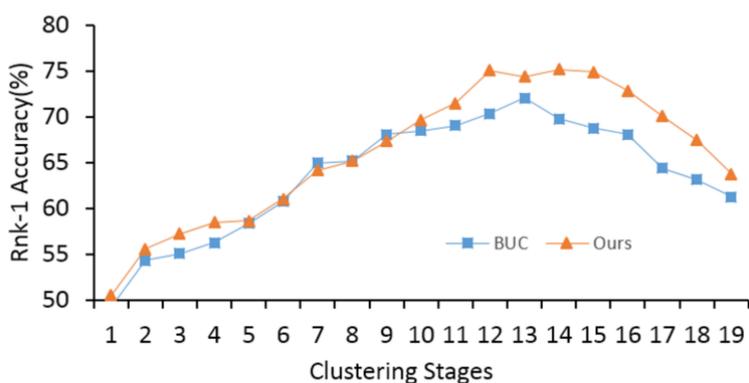


#### Ablation Study

Methods	Market-1501		DukeMTMC-reID		MARS		DukeMTMC-VideoReID	
	rank-1	mAP	rank-1	mAP	rank-1	mAP	rank-1	mAP
BUC <sup>-</sup> [15]	62.9	33.8	41.3	22.5	55.5	31.9	60.7	50.8
BUC [15]	66.2	38.3	47.4	27.5	61.1	38.0	69.2	61.9
DBC <sup>-</sup>	66.2	38.7	48.2	27.5	59.8	37.2	71.8	63.2
DBC	<b>69.2</b>	<b>41.3</b>	<b>51.5</b>	<b>30.0</b>	<b>64.3</b>	<b>43.8</b>	<b>75.2</b>	<b>66.1</b>

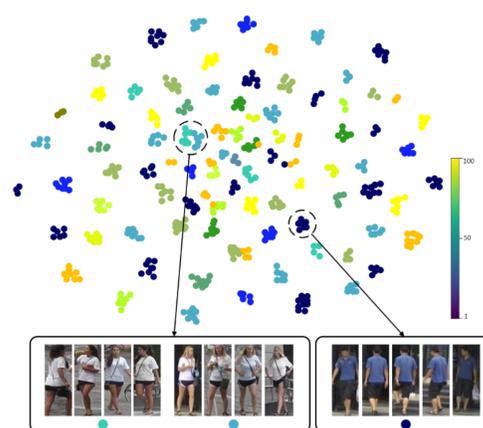
<sup>-</sup> denotes removal of regularization term, *i.e.*, cardinality in BUC and *intra-cluster* term (Eq. (3)) in BUC.

### Learning Speed & Robustness\*\*



- DBC has faster learning speed over BUC on DukeMTMC-VideoReID dataset and enjoys a better performance robustness against varying target cluster numbers.

### Qualitative Analysis\*\*



T-SNE visualization of the clustering results on a reduced Market-1501 subset.

- In most cases, samples from the same identity are group together (see collections of same colored points).
- Some incorrect merging of identities are also present. For example, bottom left box where two ladies with similar appearance (white t-shirts and dark sports wear) are clustered together.

### Image-based Datasets

Methods	Labels	Market-1501				DukeMTMC-reID			
		rank-1	rank-5	rank-10	mAP	rank-1	rank-5	rank-10	mAP
BOW[42]	None	35.8	52.4	60.3	14.8	17.1	28.8	34.9	8.3
OIM[36]	None	38.0	58.0	66.3	14.0	24.5	38.8	46.0	11.3
UMDL[22]	Transfer	34.5	52.6	59.6	12.4	18.5	31.4	37.6	7.3
PUL[5]	Transfer	44.7	59.1	65.6	20.1	30.4	46.4	50.7	16.4
EUG[35]	OneEx	49.8	66.4	72.7	22.5	45.2	59.2	63.4	24.5
SPGAN[3]	Transfer	58.1	76.0	82.7	26.7	46.9	62.6	<b>68.5</b>	26.4
TJ-AIDL[33]	Transfer	58.2	-	-	26.5	44.3	-	-	23.0
BUC[15]	None	<b>66.2</b>	<b>79.6</b>	<b>84.5</b>	<b>38.3</b>	<b>47.4</b>	<b>62.6</b>	68.4	<b>27.5</b>
DBC	None	<b>69.2</b>	<b>83.0</b>	<b>87.8</b>	<b>41.3</b>	<b>51.5</b>	<b>64.6</b>	<b>70.1</b>	<b>30.0</b>

- "Transfer": External dataset with annotations used.  
- "OneEx": One labeled example per person is used.

- "Camera ": Camera view information is used.  
- "None": No extra information used.

### Video-based Datasets

Methods	Labels	MARS				DukeMTMC-VideoReID			
		rank-1	rank-5	rank-10	mAP	rank-1	rank-5	rank-10	mAP
OIM[42]	None	33.7	48.1	54.8	13.5	51.1	70.5	76.2	43.8
DGM+IDE[37]	OneEx	36.8	54.0	-	16.8	42.3	57.9	69.3	33.6
Stepwise[17]	OneEx	41.2	55.5	-	19.6	56.2	70.3	79.2	46.7
RACE[38]	OneEx	43.2	57.1	62.1	24.5	-	-	-	-
DAL[1]	Camera	49.3	65.9	72.2	23.0	-	-	-	-
BUC[15]	None	61.1	<b>75.1</b>	<b>80.0</b>	38.0	69.2	81.1	<b>85.8</b>	61.9
EUG[35]	OneEx	<b>62.6</b>	74.9	-	<b>42.4</b>	<b>72.7</b>	<b>84.1</b>	-	<b>63.2</b>
DBC	None	<b>64.3</b>	<b>79.2</b>	<b>85.1</b>	<b>43.8</b>	<b>75.2</b>	<b>87.0</b>	<b>90.2</b>	<b>66.1</b>

\*\* Details of additional information in this poster can be found via our journal version "Towards better Validity: Dispersion based Clustering for unsupervised Person Re-identification" at <https://arxiv.org/pdf/1906.01308.pdf>